

| | | | | | | | |
|--|----|---|----------|------------------------------------|--------------------------------|---|--|
| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 262416US0X PCT | | SERIAL NO. New U.S. PCT Application Based on PCT/EP03/06356 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Dirk ROETTGER, et al. | | | |
| | | | | FILING DATE Herewith | | GROUP | |
| | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| DB | AO | 02/100803 | 12/19/02 | WO | | | |
| | AP | | | | | | |
| | AQ | | | | | | |
| | AR | | | | | | |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | JACKSTELL, R. ET AL: "A HIGHLY EFFICIENT CATALYST FOR THE TELOMERIZATION OF 1, 3-DIENES WITH ALCOHOLS: FIRST SYNTHESIS OF A MONOCARBENEPALLADIUM(0)-OLEFIN COMPLEX" ANGEWANDTE CHEMIE. INTERNATIONAL EDITION, VERLAG CHEMIE. vol. 41, no. 6, pages 986-989, March 15, 2002. | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner <i>Jim Sub Bullock</i> | | | | | Date Considered <i>9/28/07</i> | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



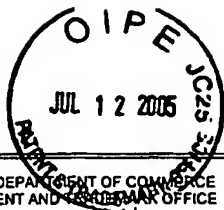
LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent Appl. Publication No.</u> | <u>Inventor/ Applicant</u> |
|---------------------------|------------------------------------|---------------------------------|---|--------------------------------|
| <i>JB</i> 271996US0XPCT | 10/538,475 | 06/07/05 | | KAIZIK, et al. |
| <i>JB</i> 273059US0XPCT | 10/538,359 | 06/13/05 | | ROTTGER, et al. |
| 262416US0XPCT* | 10/517,620 | 12/23/04 | | ROTTGER, et al. |
| <i>JB</i> 253885US0XPCT | 10/497,034 | 10/28/04 | US2005/0065387 A1 | BELLER, et al. |
| <i>JB</i> 249176US0XPCT | 10/490,038 | 03/19/04 | US2004/0242947 A1 | BELLER, et al. |
| <i>JB</i> 244947US0XPCT | 10/478,697 | 12/09/03 | US2005/0038273 A1 | ROTTGER, et al. |
| <i>JB</i> 240074US0XPCT | 10/470,280 | 08/08/03 | US2004/0059170 A1 | ROTTGER, et al. |
| <i>JB</i> 218240US0 | 6,627,782 | 09/30/03 | | KAIZIK, et al. |

On Sub Bullock

9/28/07

*Present Application; listed for information
NFO/kch



| | | | | | | | |
|--|--|--|--------------------|------------------------------------|-----------------------|--------------------------|--|
| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 262416US0X PCT | | SERIAL NO. 10/517,620 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Dirk ROETTGER, et al. | | | |
| | | | | FILING DATE December 23, 2004 | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| AA | | 2005/0065387 | 3/2005 | Bettler et al | | | |
| AB | | 2004/0242947 | 12/2004 | Bettler et al | | | |
| AC | | 2005/0038273 | 2/2005 | Rottger et al | | | |
| AD | | 2004/0059170 | 3/2004 | Rottger et al | | | |
| AE | | 6,627,792 | 9/2003 | Keizik et al | | | |
| AF | | | | | | | |
| AG | | | | | | | |
| AH | | | | | | | |
| AI | | | | | | | |
| AJ | | | | | | | |
| AK | | | | | | | |
| AL | | | | | | | |
| AM | | | | | | | |
| AN | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| AO | | | | | | | |
| AP | | | | | | | |
| AQ | | | | | | | |
| AR | | | | | | | |
| AS | | | | | | | |
| AT | | | | | | | |
| AU | | | | | | | |
| AV | | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| AW | | | | | | | |
| AX | | | | | | | |
| AY | | | | | | | |
| AZ | | | | | | | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner <i>J. Sub Bullock</i> | | Date Considered <i>9-28-07</i> | | | | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



Docket No.: 262416US0X PCT

Serial No.: 10/517,620

Inventor: Dirk ROETTGER, et al.

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Filing Date: December 23, 2004

Group: 1754

LIST OF RELATED CASES

| Examiner Initial | Docket No. | Serial or Patent Number | Filing or Issue Date | Patent App. Publication No. | Inventor or Applicant |
|---------------------|---------------------------|----------------------------|-------------------------|--------------------------------|----------------------------|
| | 262416US0XPCT* | 10/517,620 | 12/23/04 | US2005/0240039 A1 | ROTTGER, et al. |
| <i>JB</i> | 282274US0XPCT | 10/562,454 | 12/27/05 | | KRISSMANN, et al. |

Examiner

J. M. Sub Bullock

Date Considered

9/28/07

*Present Application; listed for information
NFO/hah

Received 5/8/07

| | | |
|---|---------------------------------|------------------------|
| | Docket No.: 262416US0X PCT | Serial No.: 10/517,620 |
| LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56 | Inventor: Dirk ROETTGER, et al. | |
| | Filing Date: December 23, 2004 | Group: 1754 |

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|-----------------------------|---------------------------|--|---------------------------------|--|----------------------------------|
| | 262416US0XPCT* | 10/517,620 | 12/23/04 | US2005/0240039 | ROETTGER, et al. |
| JB | 302985US0XPCT | 11/574,060 | 02/22/07 | A1 | BORGMANN, et al. |
| JB | 302158US0XPCT | 11/574,018 | 02/21/07 | | BORGMANN, et al. |
| JB | 302933US0XPCT | 11/574,063 | 02/22/07 | | NIERLICH, et al. |

Examiner

In Suk Guldock

Date Considered

9/28/07

*Present Application; listed for information
NFO/sbs

Received 8-15-07

| | | |
|---|---------------------------------|------------------------|
| | Docket No.: 262416US0X PCT | Serial No.: 10/517,620 |
| LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56 | Inventor: Dirk ROETTGER, et al. | |
| | Filing Date: December 23, 2004 | Group: 1754 |

LIST OF RELATED CASES

| Examiner Initial | Docket No. | Serial or Patent Number | Filing or Issue Date | Patent App. Publication No. | Inventor or Applicant |
|---------------------|---------------------------|-------------------------------|-------------------------|--------------------------------|----------------------------|
| | 262416US0XPCT* | 10/517,620 | 12/23/04 | US2005/0240039 | ROTTGER, et al. |
| JB | 296473US0XPCT | 10/593,330 | 09/19/06 | A1 | BORGMANN, et al |
| JB | 307349US0XPCT | 11/721,978 | 06/16/07 | | BELLER, et al. |

Examiner

In Sub Bullock

Date Considered

9/28/07

*Present Application; listed for information
NFO/ach